



1705

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VOLKER STANJEK ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/595,032

Filed: January 3, 2006

For: PREPOLYMERS WITH ALKOXYSILANE END GROUPS

Attorney Docket No.: WAS 0755 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

6/13/2006  
Date of Deposit

William G. Conger  
Name of Person Signing


  
Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**VOLKER STANJEK ET AL.**

By:   
William G. Conger  
Reg. No. 31,209  
Attorney for Applicant

Date: June 13, 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
VOLKER STANJEK ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: PREPOLYMERS WITH ALKOXYSILANE END GROUPS :

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE-A 101 39 132 an English Derwent Abstract is enclosed.

For DE-A 1 812 564 an English Derwent Abstract is enclosed.

For DE-A 1 905 100 an English Derwent Abstract is enclosed.

For DE-A 198 49 817 an English Derwent Abstract is enclosed.

For DE-A 2 155 259 an English Derwent Abstract is enclosed.

For DE-A 2 155 258 an English Derwent Abstract is enclosed.

EP-A 0 082 528 is already in English language.

For EP-A 0 269 819 an English Derwent Abstract is enclosed.

EP-A 0 372 561 is already in English language.

For WO 92/12823, to which the cited EP 569360 corresponds, an English Derwent Abstract is enclosed.

US 3, 971,751 is already in English language.

US-B 6,207,766 is already in English language.

US 5,254,657 is already in English language.

For EP-A 0 885933 an English Derwent Abstract is enclosed.

For EP-A 1 256 595 an English Derwent Abstract is enclosed.

WO-A 00/37533 is already in English language.

For WO-A 03/018658 an English Derwent Abstract is enclosed.

WO-A 96/34030 is already in English language.

For EP-B 1 421 129, which corresponds to the cited DE 101 42 050, an English Derwent Abstract is enclosed.

Signed this 19<sup>th</sup> day of January, 2006.

  
.....  
Dr. Helmut Fritz

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/595,032
				Filing Date	January 3, 2006
				First Named Inventor	VOLKER STANJEK ET AL.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	3	Attorney Docket Number	WAS 0755 PUSA

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Foreign Patent Document					Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>		Number <sup>4</sup>		Kind Code <sup>5</sup> (if known)				
		DE	1	812	564		Farbenfab Bayer AG	06-18-1970		
		DE	1	905	100		Farbenfab Bayer AG	08-06-1970		
		DE	2	155	258		Farbenfab Bayer AG	05-10-1973		
		DE	2	155	259		Farbenfab Bayer AG	05-10-1973		
		DE	198	49	817 A1		Bayer AG	05-04-2000		
		DE	101	39	132 A1		Consortium für	02-27-2003		
		EP	0	082	528 A1		Union Carbide	06-29-1983		
		EP	0	269	819 A2		Bayer AG	06-08-1988		
		EP	0	372	561 A2		Asahi Glass Co.	06-13-1990		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449A/PTO

(use as many sheets as necessary)

<b>Application Number</b>	10/595,032
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<b>Filing Date</b>	January 3, 2006
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First Named Inventor	VOLKER STANJEK ET AL.
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Group Art Unit	Unknown
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Examiner Name	Unknown
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Sheet	2	of	3	Attorney Docket Number	WAS 0755 PUSA
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[illegible][illegible]Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	10/595,032	
			Filing Date	January 3, 2006	
			First Named Inventor	VOLKER STANJEK ET AL.	
			Group Art Unit	Unknown	
			Examiner Name	Unknown	
Sheet	3	of	3	Attorney Docket Number	WAS 0755 PUSA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Derwent Abstract Corresponding to DE 1 812 564.	
		Derwent Abstract Corresponding to DE 1 905 100.	
		Derwent Abstract Corresponding to DE 2 155 258.	
		Derwent Abstract Corresponding to DE 2 155 259.	
		Derwent Abstract Corresponding to DE 198 49 817 A1.	
		Derwent Abstract Corresponding to DE 101 39 132 A1.	
		Derwent Abstract Corresponding to EP 0 269 819 A2.	
		Derwent Abstract Corresponding to EP 0 885 933 A1.	
		Derwent Abstract Corresponding to EP 1 256 595 A1.	
		Derwent Abstract Corresponding to EP 1 421 129 B1.	
		Derwent Abstract Corresponding to WO 92/12823.	
		Derwent Abstract Corresponding to WO 03/018658 A1.	

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.